

Ft William.com Administration Software Users Group Meeting

Meeting #14 – January 7, 2014

Today's Agenda

- Ftwilliam.com new user interface
- Recent releases to the admin software
 - Aggregation enhancements
 - Forfeiture allocation enhancements
 - New vendor transaction upload options
 - New Plan Highlights Report
 - General Test auto-solve enhancements
 - Ability to delete sources and investments
- Future Releases
- Open discussion

ftwilliam.com New User Interface

- Currently in beta testing
- Will be rolled out to all customers later in 2014
- Main difference for admin software is that 'Return' buttons/links are replaced by 'crumbs' – can go back to any previous screen
- Screen shots today are from new interface

Aggregation Enhancements

- Users can now enter non-ftw data to produce combined test results for:
 - 410(b) Coverage Test
 - 404 Deduction Test
 - ADP/ACP Test

Combined Test Parameters Screen

Home > [Edit Company](#) > [Edit Plan](#) > [Admin](#) > Combined Testing Parameters [Help](#)

Combined Testing Parameters

Company: ABC Company Inc
Plan: Sample 401(k) Plan
Year End: 2012-12-31

Overrides

[Run Edit Checks](#) [Clear Edit Checks](#)

Aggregation/Disaggregation [Help](#)

Divisions - Not used
Testing Divisions used (Not used): ??

MEP - APA
Indicate status as MEP/APA: ??
If MEP/APA enter the MEP/APA Code: ??

410(b)/401(a)(4)/ADP/ACP Testing
Testing Aggregation used: ?? [Refresh](#)

If Testing Aggregation is 'Yes', Testing Aggregation group ID: ??

If Testing Aggregation is 'Yes', Plan containing combined census: ??

If Testing Aggregation is 'Yes', combine selected data for duplicate Participants: ??

Disaggregation using otherwise excludable employees: ??

If disaggregation entry date used: ??

[Run Edit Checks](#) [Clear Edit Checks](#)

- New link to enter data from other plan

?? [Refresh](#)
 ??
 ??
 ??
[Review/Edit Non-ftw Data](#)

Enter Data from Other Plan

[Home](#) > [Edit Company](#) > [Edit Plan](#) > [Admin](#) > [Combined Testing Parameters](#) > Combined Test Non-ftw Data

Combined Test Non-ftw Data

Company: **ABC Company Inc**

Plan: **ABC Company Sample Plan 1**

Year End: **2011-12-31**

Be sure to click 'Update' when finished.

<i>410(b) Ratio Percentage</i>						
Component	NHCE NonExcl	NHCE Ben	NHCE %	HCE Non Excl	HCE Ben	HCE %
410(b) Test Elective:	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0.00"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0.00"/>
410(b) Match:	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0.00"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0.00"/>
410(b) Non Elective:	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0.00"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0.00"/>

<i>Deduction Limit</i>	
Total Allocations:	<input type="text" value="0.00"/>
Total Compensation:	<input type="text" value="0.00"/>

Update

ADP/ACP Test Parameters Screen

Home > Edit Company > Edit Plan > Admin > ADP/ACP Parameters > ADP ACP Non-ftw Data

ADP ACP Non-ftw Data

Company: **ABC Company Inc**
 Plan: **ABC Company Sample Plan 1**
 Year End: **2011-12-31**

Group		NHCE				HCE			
		ADP		ACP		ADP		ACP	
Union/Non-Union	Disaggregation	NHCE Cnt	Tot NHCE ADR	NHCE Cnt	Tot NHCE ACR	HCE Cnt	Tot HCE ADR	HCE Cnt	Tot HCE ACR
N/A	All								

Update

Add rows for CBA employees?

NOTE: Data will NOT be deleted.

Forfeiture Allocation Enhancements

- Can now enter amount of contributions funded by forfeitures:
 - On Combined Test Parameters screen - for deduction limit
 - On Transaction/Statement Menu when creating contribution batch - system will create a separate forfeiture batch as well as the regular contribution batch
- Statements will now show forfeiture allocations under the forfeiture column


- Comb Test Parameters

404 Deduction Testing

Override 25% deduction limit: ??

Override percentage: ??

Enter the amount of contributions funded by forfeitures as a positive amount: ??



- Create Batch



[Home](#) > [Edit Company](#) > [Edit Plan](#) > [Admin](#) > [Transaction Menu](#) > Create Batch

Create Batch

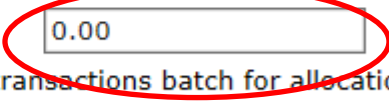
Matching Contributions

Allocation date (YYYY-MM-DD):

Contribution Date (YYYY-MM-DD): x

Amount of forfeitures separately allocated:

Enter '0.00' if you do not wish to create a separate transactions batch for allocation of forfeitures.



New Vendor Transaction Uploads

- ING batch upload
 - Zip file from ING
 - Create pending transaction batches
- SRT upload
 - Data is retrieved from SRT via Crystal Reports
 - Contact ftw support for a template

New Plan Highlights Report

- Accessible from 'Miscellaneous Tasks'
- Shows plan provisions and testing parameters
- Available in MS Word and MS Excel

Miscellaneous Tasks
[RMD Menu](#) | [Vesting Export](#)
[8955-SSA Export Menu](#) | [1099-R Export Menu](#)
[5500 Data Report](#)
[Global Participant Search](#) | [Summary of Test Results](#) | [Plan Highlights \[excel\]](#)

New Auto Solve for General Test

- Can now elect to Auto Solve the rate group test at 70% rather than the midpoint
- Useful when average benefits test is failing
- Option is on Set General Test Parameters screen

General Test	
Type of General Test:	<input type="text" value="None"/> ??
Testing Period:	<input type="text" value="Standard"/> ??
If Testing Period is "Account Balances" override calc of balances:	<input type="text" value="Cross Test"/> ??
Override NRA:	<input type="text" value="Standard RG at 70%"/> ??
Override NRA Age:	<input type="text" value="Cross Test RG at 70%"/> ??
Determination of age:	No <input type="text" value="No"/> ??
Impute Disparity (May not be Yes if Cross Test and NRA < 55):	No <input type="text" value="65"/> ??
Interest:	Last <input type="text" value="Last"/> ??
Actuarial Table:	No <input type="text" value="No"/> ??
Override Gateway Test:	8.50% <input type="text" value="8.50%"/> ??
	UP-1984 <input type="text" value="UP-1984"/> ??
	No <input type="text" value="No"/> ??

Delete Sources & Investments

- To delete a source/account the following must be true:
 - Not be in any transaction batch, posted or unposted in current or prior year
- Generally this feature will be used for sources/accounts created in error

Source Setup Screen

Source Setup

[Return](#) | [Update](#) | [Loan Source Distribution/Hierarchy](#) | [Show Defaults](#)

Seq	SourceID	Suppress	Top Heavy	Source Name	Source Desc	Source External ID	Vesting	Vest Other	Contribution Source	Earnings Alloc Meth	Curr Yr Factor	Curr Yr Contrib Factor	Account Information
100	ROTH	No	Yes	Roth Deferral	Roth Elective Deferrals		100%	N/A	Roth	Bal Forward - Trad	100.00	0.00	N/A
110	401K	No	Yes	Elective Deferral	Elective Deferrals		100%	N/A	ElectiveDeferral	Bal Forward - Trad	100.00	0.00	N/A
120	MATCH	No	Yes	Matching Contribution	Matching Contribution		2-6 Year Graded	N/A	Matching	Bal Forward - Trad	100.00	0.00	N/A
130	QMAC	Yes	Yes	QMAC	Qualified Matching Contribut		100%	N/A	QMAC	Bal Forward - Trad	100.00	0.00	N/A
140	QNEC	Yes	Yes	QNEC	Qualified Nonelective Contri		100%	N/A	QNEC	Bal Forward - Trad	100.00	0.00	N/A
150	PS	No	Yes	Profit Sharing	Profit Sharing Contribution		2-6 Year Graded	N/A	NonElective	Bal Forward - Trad	100.00	0.00	N/A
160		No	Yes				100%	N/A	None	Bal Forward - Trad			N/A
170		No	Yes				100%	N/A	None	Bal Forward - Trad			N/A
180		No	Yes				100%	N/A	None	Bal Forward - Trad			N/A
190		No	Yes				100%	N/A	None	Bal Forward - Trad			N/A
200		No	Yes				100%	N/A	None	Bal Forward - Trad			N/A

[Return](#) | [Update](#)



Review of New Releases

- Aggregation enhancements
- Forfeiture allocation enhancements
- New vendor transaction upload options
- New Plan Highlights Report
- General Test auto-solve enhancements
- Ability to delete sources and investments

Future Enhancements

- Changes to the loan module
 - Flexible repayment dates, new loan report & more...
- Multiple Employer Plan testing capability
- Test upload for census
 - Option to upload a 'test' file before loading permanently

Upcoming Webinars

- January 14 – review of the ftwilliam DC plan admin software
- January 21 – review of new option for admin customers with plans on ING platform
- January 29 – provisional – review of John Hancock

Open Discussion

- Ideas for future agenda items?
- Questions, suggestions.....